

# EVOLVING EXPORT CONTROLS, COMPLIANCE and ENFORCEMENT

Chicago

We invite you to participate in and contribute to these unique, hands-on, practical application-oriented sessions at the **Embassy Suites O'Hare Rosemont**, 5500 North River Road, Rosemont, Illinois 60018.

**Tuesday, September 29<sup>th</sup>, 2015**

**7 AM - 5 PM ~ Registration & Program ~ 5 - 7 PM ~ Networking Reception**

HOSTS: International Trade Association of Greater Chicago, Illinois District Export Council™ (DEC), US Commercial Service®; SPONSORS: Livingston International, Alston+Bird LLP, MB Financial Bank and Trade Compliance Solutions (TCS); PARTNERS: National DEC™, Midwest Research University Network (MRUN), Illinois Small Business Development Center (SBDC), International Trade Center Network®, The Export Practitioner, Tyler Search, International Compliance Professionals Association and NASBITE International

## **This Timely, Engaging and Interactive Program Provides:**

- The Latest on Export Controls: Overview and All the Latest Including Export Control Reform (ECR) Issues, the Related Changing Compliance and Enforcement Environment with Obligations Under the Export Administration Regulations and International Traffic in Arms Regulations
- Case Study Insight into Minimizing Risk, Exposure and Liability for Your Organization and You
- Real World Enforcement and Compliance Considerations - Impact and Implications as a Result of ECR and the Migration from State Department to Commerce Department
- Hands-on Jurisdiction and the Critical Importance of Getting it Right in the Context of ECR
- Practical Tips to Avoid Returned Without Action Cases and Other Hot Topics Including ECR, Brokering, Restricted Parties Screening, Third-Country/Dual Nationals, Merger and Acquisition Due Diligence...
- Industry Panel & Best Practices - Successfully Navigating Defense, Dual-Use and Commercial Exports on the US Munitions List and Commerce Control List in Challenging and Very Competitive Times
- Enforcement Update and the Consequences of Non-Compliance with Recent Lessons Learned

*[NOTE: Illinois Continuing Legal Education (CLE) Credits are pending for this program]*



**REGISTRATION: \$325/Person**  
**[\$275 for DEC and PARTNERS (noted above)**  
**as well as government, faculty and students]**



Registration Deadline to Guarantee Seating:  
Tuesday, September 15<sup>th</sup>, 2015 - \$375.00 thereafter  
(Space is Limited)

Additional information contact:

Matthew Poole, Commercial Officer, Chicago US Export Assistance Center (USEAC)  
312-353-8040, [office.chicago@trade.gov](mailto:office.chicago@trade.gov)

- **Registration Form at [http://calendar.itagc.org/docs/ITAGC\\_Calendar\\_6921.pdf](http://calendar.itagc.org/docs/ITAGC_Calendar_6921.pdf) -**

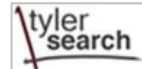
# Evolving Export Controls, Compliance and Enforcement - Illinois Edition

## AGENDA

(As of 6/23/2015)

Understanding and complying with US export regulations is critical and proven to be a sound business practice.

7-8 AM	<b>Registration</b> / Breakfast / Networking
8-8:15 AM	<b>Opening</b> Remarks (Richard Paullin, Chair, Illinois DEC)
8:15-8:45 AM	<b>Overview:</b> Current Players, Policies, Processes and Status in the Context of Ongoing Dynamic Changes (John Priecko, President and Managing Partner, TCS)
8:45-9:15 AM	<b>Export Control Reform:</b> Details and Update - What's the Latest, What Should you Be Doing/ Practical Application and Lessons Learned from Real World Case Studies and Enforcement Actions (Jason Waite, Partner, Alston+Bird LLP)
9:15-9:40 AM	<b>Financing Exports</b> - Keys to Success (Chantal Wittman Meier, Vice President Trade Banking, MB Financial Bank)
9:40-9:55 AM	BREAK
9:55-10:35 AM	<b>Jurisdiction &amp; Classification:</b> The Critical Importance of Getting Them Right with Tools You Can Use to Do So [William G. van Amerongen, Director, International Trade Compliance and Empowered Official (EO), International Rectifier Corporation and John]
10:30-11:15 AM	<b>FCPA &amp; OFAC:</b> All You Need to Know About the Foreign Corrupt Practices Act and Department of the Treasury, Office of Foreign Assets Control, Sanctions and Regulatory Requirements (Jason Waite and Stephanie Lipka, North America Trade Compliance Manager - Import/Export, Wrigley Company)
11:15 AM-Noon	<b>Automation:</b> Essential Software and Record Keeping Requirements, What Difference Do They Make with Important Step-by-Step Due Diligence Considerations (Chadd Westhoff, Executive Director, Global Trade Management, Livingston International)
Noon-12:15 PM	<b>Q&amp;A</b> (All AM Presenters)
9 AM-Noon	<i><b>One-on-Ones:</b> No cost/one-hour sessions with the afternoon presenters are available first-come/first-served. Contact the Chicago USEAC, 312-353-8040, <a href="mailto:office.chicago@trade.gov">office.chicago@trade.gov</a> for details and to reserve a time.</i>
12:15-1:15 PM	Networking LUNCH
1:15-2 PM	<b>KEYNOTE:</b> "Homeland Security's Current Global Role in Evolving Trade Compliance, Export Controls, Enforcement and the Cyber Crime Threat" [Craig Healy, Director, Export Enforcement Coordination Center (E2C2), Homeland Security Investigations, US Department of Homeland Security, Washington, DC]
2-2:45 PM	<b>Practical Tips:</b> Current Hot Topics, ECR Unintended Consequences, Transition Concerns, Trends, Processing Times and Other Timely Subjects ... (William G. van Amerongen and Julia Slovak, Operations Manager, Global Export Compliance, Global Tax & Trade and EO, Caterpillar Incorporated)
2:45-3 PM	BREAK
3-4:30 PM	<b>Industry Panel:</b> Best Practices - Successfully Negotiating the Defense, Dual-Use and Commercial Exports Minefields [Moderator: Jim Foley, Director, Illinois SBDC International Trade Center, Bradley University; Panel Members: Gwendolyn (Gwen) Hassan, Managing Attorney-Compliance & Regulatory, CNH Industrial America LLC; Brian Laney, Director of Global Logistics & Trade Compliance and EO, Cubic Corporation and Gary Keller, Chief Executive Officer, Xomix Ltd and Vice President, Managing Director of the Midwest University Research Network (MRUN)]
4:30-4:45 PM	<b>Q&amp;A</b> (All AM and PM presenters)
4:45-5 PM	<b>Closing</b> Remarks (Monica Toporkiewicz, Acting Director, Chicago USEAC)
2-5 PM	<i><b>One-on-Ones:</b> No cost/one-hour sessions with the afternoon presenters are available first-come/first-served. Contact the Chicago USEAC, 312-353-8040, <a href="mailto:office.chicago@trade.gov">office.chicago@trade.gov</a> for details and to reserve a time.</i>
5-7 PM	<b>Reception</b> (Networking session hosted by the Chicago & Rockford USEACs and Illinois DEC, Sponsored by Alston+Bird LLP)



"The program hosts, sponsors, partners and speakers support the goals of this event, but do not necessarily endorse the specific products, services or views of the participating individuals or organizations."